



SETH I. RUBIN / PARTNER

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EDUCATION

- University of Pennsylvania (J.D., 1994)
- Hobart College (B.A., cum laude, 1991)

PRACTICE AREAS

- Corporate & Securities
- Financial Services, Banking & Bankruptcy
- Health Law: Transactional
- Healthcare Finance

Seth I. Rubin is experienced in a wide variety of corporate, healthcare, banking, business, and securities law matters. He advises clients on a multitude of business law issues, including purchases and sales of companies, choice of entities, and employment and other compensation agreements. He prepares corporate and commercial agreements for both publicly owned and privately held companies and has handled numerous public equity offerings, private placements of securities, including venture capital financings, and mergers and acquisitions. He is also responsible for securities compliance on behalf of public companies.

As a partner at Ruskin Moscou Faltischek, P.C., he is chair of the firm's Healthcare Finance Practice Group and a member of the Corporate & Securities, Health Law Transactional, Financial Services, Banking & Bankruptcy Departments and Corporate Governance Practice Group.

He represents healthcare entities and professionals in a wide range of matters including acquisitions, joint ventures, employment, and formation of professional practices. He also negotiates lines of credit, loans, letters of credit and security agreements, and structures financing for acquisitions, working capital and expansion.

Seth has lectured and written about starting new businesses, exit strategies for business owners, buy/sell agreements, corporate governance matters and the Sarbanes-Oxley Act, as well as U.S./Canadian cross-border transactions.

In addition to serving on the Board of Directors of the American Jewish Committee, Long Island Region, he served as a director of the Nassau County Bar Association from 2010–2013. Seth served as Chair of the Corporations, Banking and Securities Committee from 2009–2011 and is a member of the Publications Committee of the Nassau County Bar Association. He is also a member of the LIA Small Business Council. Seth was named in Long Island Business News in its Ones to Watch section on banking.

He has written on a wide variety of corporate and business topics. In addition, Seth has also been quoted by news outlets including Long Island Business News for articles entitled “SEC Eyes Ads for Private Placements,” by Claude Solnik, “Boomers Cash Out,” by Laura Glaser and “Director’s Cut: After Sarbox, Greater Responsibility, But Much Greater Pay,” by Jeremy Harrell.

After graduating with honors from Hobart College, he attended the University of Pennsylvania Law School where he served as executive editor of The Comparative Labor Law Journal.

PUBLICATIONS

- SEC COVID-19 Update: Conditional Relief Provided for Filing, Proxy Statements, and Annual Report Deadlines (Alerts)
- E-Signatures and Notary Acknowledgements in the Age of COVID-19 (Alerts, Corporate and Securities)
- Avoiding Insider Trading Issues: Rule 10b5-1 Plan Requirements (Articles, Corporate and Securities)
- Looking for Venture Capital Investment? How to Use Due Diligence to Your Advantage (Articles, Corporate and Securities)
- Negotiating Price: All Earnouts Are Not Created Equal (Articles, Corporate and Securities)
- Outside Directors at Risk: How to Serve and Protect Yourself (Articles, Corporate and Securities)
- SEC Adopts New Rules Regarding Internet Availability of Proxy Materials (Articles, Corporate and Securities)
- Look Before You Leap: Understanding The Ins and Outs of Medical Practice Mergers (Articles, Health Law: Regulatory, Health Law: Transactional)
- Directors in the Shadow of SOX: Managing Responsibilities, Understanding Liabilities (Articles, Corporate and Securities)
- What to Expect From a Letter of Intent (Articles, Corporate and Securities)
- Deregistration of Securities: A Permanent Solution? (Articles, Corporate and Securities)
- Beware the Unexecuted Contract: It May Be Enforceable (Articles, Corporate and Securities)
- Sarbanes-Oxley: It’s Not Just for Public Companies (Articles, Corporate Governance)